

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

170

in re application of: Levine et al.

Serial No.: 10/675,783

Filed: September 30, 2003

For: Method and Apparatus to Autonomically Profile Applications

35525
PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

Group Art Unit: 2182

Examiner: Unknown

Attorney Docket No.: AUS920030486US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

06

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450 on

By:

man

TRANSMITTAL DOCUMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AH-AJ; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285

YEE & ASSOCIATES, P.C.

P.O. Box 802333

Dallas, Texas 75380

(972) 385-8777

ATTORNEY FOR APPLICANTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Levine et al.

Serial No: 10/675,783

Filed: September 30, 2003

Title: Method and Apparatus to Autonomically Profile Applications

Group Art Unit: 2182

Intellectual Property Law Department International Business Machines Corporation 11400 Burnet Road Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

DOCKET NUMBER AUS920030486US1

No item of information cited in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

Duke W. Yee

Registration No. 34,285

Yee & Associates, P.C.

P.O. Box 802333

Dallas, Texas 75380

Telephone: (972) 385-8777

PTO/SB/(08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

	Complete if Known
Application Number	10/675,783
Filing Date	09/30/2003
First Named Inventor	Levine et al.
Examiner Name	Unknown
Art Unit	2182
Attorney Docket No.	AUS920030486US1

		1			
Exam Initia		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,710,881	01-20-1998	Gupta et al.	
	AB	5,822,763	10-13-1998	Baylor et al.	
	AC	5,928,334	07-27-1999	Mandyam et al.	
	AD	6,094,709	07-25-2000	Baylor et al.	
	AE	6,285,974 B1	09-04-2001	Mandyam et al.	
	AF	6,636,950 B1	10-21-2003	Mithal et al.	
	AG	2004/0205302 A1	10-14-2004	Cantrill	
				_	
			FOREIGN PATEN	IT DOCUMENTS	
Examiner Initial		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	5.)P.O.		NON PATENT	I ITERATURE	1 かき 協議を決合する から きじな合い はじょし 本助機が 1.2 4 4 1 1 1
	iner	Include name of author			
Exam		Include name of author (book, magazine, journal publisher, city and/or co	(in CAPITAL LETTERS) al, serial, symposium, ca puntry where published.	, title of the article (when approtately, talog, etc.), date, page(s), volu	opriate), title of the item ime-issue number(s),
Exam		Include name of author (book, magazine, journa publisher, city and/or co TANENBAUM, "Structur	(in CAPITAL LETTERS) al, serial, symposium, ca puntry where published. ed Computer Organizatio	n, title of the article (when appro talog, etc.), date, page(s), volu n", 1984, Prentice-Hall, Inc., 2 nd	opriate), title of the item ime-issue number(s), Edition, pp. 10-12.
Exam Initial		Include name of author (book, magazine, journa publisher, city and/or co TANENBAUM, "Structur TORRELLAS et al., "Fals	(in CAPITAL LETTERS) al, serial, symposium, ca puntry where published. ed Computer Organizatio	n, title of the article (when approtated), talog, etc.), date, page(s), volum", 1984, Prentice-Hall, Inc., 2 nd cality in Multiprocessor Caches	opriate), title of the item ime-issue number(s), Edition, pp. 10-12.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.